



Test Security Agreement 2016-2017

Level 1-3 Testing Personnel: district test coordinators, technology coordinators, lead test coordinators, associate or building test coordinators

School and district personnel responsible for test administration shall read and sign a Test Security Agreement (TSA), on a form provided by the Alaska Department of Education & Early Development, affirming that they will follow the test administration procedures required by the Alaska Department of Education & Early Development and the test publisher, if applicable.

- **Test security regulations apply to all statewide assessments required by the Statewide Student Assessment System 4 AAC 06.710.**
- **All district employees involved in testing must read and follow all testing procedures and manuals published by the test publisher per regulation 06.765(f)(3).**
- These regulations apply to both paper-based assessments and computer-based assessments; all testing personnel are required to maintain the same standards of security for both types of assessments.
- This form must be signed annually and must be on file prior to receiving any test materials and/or administering any tests. 4 AAC 06.765 (f)(1); 4 AAC 06.761(c).

Directions:

- Please fill out the identification section accurately, indicating all applicable roles.
- Read each statement carefully and initial each line to indicate that you agree to follow these procedures in the context of your role(s).
- Please sign your full name and date the form.
- District Test Coordinators and Lead Coordinators must submit their TSA to EED by the deadline of **October 1, 2016** to Rachel Schweissing by email (rachel.schweissing@alaska.gov) or fax (907.465.2989)
- Associate or Building Test Coordinators submit their TSA to the District Test Coordinator to be kept on file.

Identification

Name (Last, First MI): _____
 District: _____
 School/Site: _____

Responsibility Level	Testing Role (check all that apply)
Level 1: District	____ District Testing Coordinator (DTC)
Level 2: District <i>DTCs may designate lead coordinators for the three assessments listed. The DTC oversees actions of the lead coordinators.</i>	____ English Language Proficiency Assessment Lead Coordinator ____ Alternate Assessment Lead Coordinator ____ Developmental Profile Lead Coordinator ____ Technology Director/Coordinator
Level 3: Site/School/Building <i>In districts with two or more school test centers, the district shall designate an on-site associate test coordinator [or building test coordinator] for each site.</i>	____ English Language Proficiency Site Coordinator ____ Alternate Assessment Site Coordinator ____ Associate Test Coordinator (ATC) or Building Test Coordinator (BTC)

Read **and initial** each line below. *By initialing I am verifying that I have read and agree to follow these procedures as they apply to my district testing personnel duties.*

<u>Testing Location – Completion of this section is required for all roles</u>
____ A district shall administer an assessment only in a designated school test center. 4 AAC 06.761(b) ____ Each school test center must be secure, free of disruptions, have an established seating arrangement, and be well lighted. 4 AAC 06.761(b) 4 AAC 06.765(f)(3) ____ Only designated district test coordinators, associate test coordinators, proctors, or test administrators may be in the test center rooms at the time of testing students. 4 AAC 06.761(b) ____ State assessments must be given only on school days in session as described in AS 14.03.040.
<u>Testing Personnel– Completion of this section is required for all roles</u>
____ Only trained employees of the school district may participate in test administration. 4 AAC 06.761, 4 AAC 06.765, AAC 06.755

<u>Training for Testing Personnel– Completion of this section is required for all roles</u>
____ School and district personnel responsible for test administration shall provide training in test procedures to all district staff involved in testing as directed by the department, and ensure that employees complete the training. 4 AAC 06.765 (f)(2) ____ All staff participating in statewide assessment administration will attend district training and complete all required activities. 4 AAC 06.765 (f)(2)

Read **and initial** each line below. *By initialing I am verifying that I have read and agree to follow these procedures as they apply to my district testing personnel duties.*

Test Materials Security– Completion of this section is required for all roles

____ School and district personnel responsible for test administration shall control the storage, distribution, administration, and collection of tests at all times and in all locations. 4 AAC 06.765(c)(2-4)

____ School and district personnel responsible for test administration shall code the tests according to test administration directions before testing. 4 AAC 06.765(d)(1)

____ School and district personnel responsible for test administration shall ensure that no test or test question is copied in any manner, whether on paper or by electronic means. 4 AAC 06.765(c)(5)

____ School and district personnel responsible for test administration shall inventory and track materials, securely store materials, maintain control over the materials from the time the materials arrive at the district office or school until the time the materials are returned to the test publisher. 4 AAC 06.765(c)(1)-(d)(4)

- upon arrival in the district,
- when transferred from district to site(s),
- when distributed to test administrators within the sites,
- when distributed to students within rooms,
- when collected at the end of each session,
- when collected within a site,
- when transferred from site(s) to district office, and
- when returned to the test publisher.

NOTE: *Application of these regulations to computer-based assessments includes, but is not limited to, the following examples:*

- *“Controlling the storing, distribution, administration, and collection of tests” includes, but is not limited to, secure storing of the assessment on a local caching server, secure use of student login information, ensuring students are not able to easily view others’ device screens, checking student devices to ensure that the test engine is operating properly.*
- *“Materials” includes, but is not limited to, the following types of computer-based assessment materials: assessments stored in a local caching system, student login/password/code details, and directions and access codes for re-activating an assessment.*

Data and Test Results – Completion of this section is required for all roles

____ All school and district personnel shall maintain the security and confidentiality of electronic test data files, individual student reports, and other testing reports designated as secure. 4 AAC 06.765 (g)

Secure Testing Practices– Completion of this section is required for all roles

____ School personnel at a school test center shall ensure that no individual receives a copy of the test, or learns of a specific test question or item, before the test date and time set by the commissioner, unless knowledge of the question or item is necessary for delivery of accommodations under 4 AAC 06.775. 4 AAC 06.765 (d)(7)

____ School personnel at a school test center shall ensure that **no** test or test question is copied, or reproduced in any manner, by an examinee or anyone else. 4 AAC 06.765 (d)(5)

____ School and district personnel responsible for test administration shall ensure that all district staff involved in testing read and follow all testing procedures and manuals published by the test publisher, unless instructed otherwise by the department. 4 AAC 06.765 (f)(3)

____ School personnel at a school test center shall ensure that examinees use only those reference materials allowed by the test publisher's testing procedures. 4 AAC 06.765 (d)(6)

____ School personnel at a school test center shall ensure that no examinee is assisted in responding to or review of specific test questions or items before, during, or after a test session. 4 AAC 06.765 (d)(10)

Read **and initial** each line below. *By initialing I am verifying that I have read and agree to follow these procedures as they apply to my district testing personnel duties.*

____ Test questions may be disclosed to a student on the date/time specified by the commissioner, testing personnel in the course of fulfilling their duties, and a test administrator if necessary to fulfill duties regarding the delivery of accommodation. 4 AAC 06.765 **Note: Testing personnel may not read test items aloud or silently to themselves or to another individual unless specifically required to provide an accommodation to an individual or student group.**

____ School personnel at a school test center shall ensure that **no** test or test question is **paraphrased** in any manner by an examinee or anyone else. 4 AAC 06.765 (d)(5)

____ School personnel at a school test center shall ensure that examinees do not exchange information during a test, except when the test procedure so specifies. 4 AAC 06.765 (d)(8)

____ School personnel at a school test center shall ensure that an examinee's answer is not altered after testing is completed. 4 AAC 06.765 (d)(9)

Accommodations– Completion of this section is required for all roles

____ A student with a disability must take all regular statewide assessments required under 4 AAC 06.710, with or without accommodations, at the student's assigned grade level. A district and a student's IEP or section 504 team shall follow the *Participation Guidelines for Alaska Students in State Assessments, December 2015* when making decisions regarding accommodations for a student, and a district shall provide the accommodations requested by the student's IEP or section 504 team. 4 AAC 06.775(c), *Participation Guidelines for Alaska Students in State Assessments, December 2015*

____ If a student's IEP requires a modification that violates test security, the modification will be provided only if it does not affect test security for any students other than the student who requires the modification. **A modification that violates test security results in an invalid assessment and the assessment will not be scored.** 4 AAC 06.765(h)

____ A district shall appoint a team that includes, if practicable, a teacher with experience in teaching students with limited English proficiency to determine the necessary accommodations for students with limited English proficiency under the department's *Participation Guidelines for Alaska Students in State Assessments*, adopted by reference in 4 AAC 06.775(a). The team shall document the accommodation decision and may not provide a modification. 4 AAC 06.776(b) *Note: Students with Limited English Proficiency are now called English Learners.*

Read **and initial** each line below. *By initialing I am verifying that I have read and agree to follow these procedures as they apply to my district testing personnel duties.*

English Language Proficiency Assessment – Completion of this section is only required for roles pertaining to the ELP Assessment, all other roles, please put N/A

___ All test administrators for the ACCESS for ELLs 2.0 assessment must successfully complete the online certification training prior to administering the assessment. 4 AAC 06.765 (f)(2)

___ District and school personnel will ensure that students identified as limited English proficient (LEP) do not receive direct or indirect linguistic support accommodations while taking the ACCESS for ELLs assessment. 4 AAC 06.775(a); *Participation Guidelines for Alaska Students in State Assessments, December 2015, page 31*

Alternate Assessment (AA) – Completion of this section is only required for roles pertaining to the Alternate Assessment, all other roles, please put N/A

___ All Test Administrators for the Alternate Assessment must successfully complete the online certification training prior to administering the assessments. 4 AAC 06.765 (f)(2)(3)

The Alternate Assessment (AA) design requires testing personnel to perform tasks that are considered test security breaches for all other assessments. DTCs and all testing personnel administering the AA must read and sign the exceptions to regulations listed below to indicate that they understand

- (a) that these exceptions apply only to the AA, and
- (b) that the AA relies upon these actions being carried out according to vendor directions.

Secure Testing Practices: AA

___ Test Administrators may have access to some test items on the alternate assessments prior to test administration for the purpose of providing direct student supports as documented in the IEP. *Related Regulation: School personnel at a school test center shall ensure that no individual receives a copy of the test, or learns of a specific test question or item, before the test date and time set by the commissioner, unless knowledge of the question or item is necessary for delivery of accommodations under 4 AAC 06.775. 4 AAC 06.765 (d)(7)*

___ Test Administrators may read aloud test items to the student following the guidelines provided in the assessments. *Related Regulation: Testing personnel shall NOT read test items aloud, silently, or to another individual, unless specified in the test administration directions or specifically required to provide an accommodation to an individual or student group. 4 AAC 06.765 (b)*

___ Any hard copies of test materials prepared for administration of an alternate assessment must be kept securely. Within one week of the test window closure, these materials must be securely destroyed, including deletion of files from computers. *Related Regulation: School personnel at a school test center shall ensure that no test or test question is copied, or reproduced in any manner by an examinee or anyone else. 4 AAC 06.765 (d)(5)*

Read **and initial** each line below. *By initialing I am verifying that I have read and agree to follow these procedures as they apply to my district testing personnel duties.*

Assurances:

____ I have read and I understand regulations 4 AAC 06.761 Test Administration and 4 AAC 06.765 Test security; consequences of breach (found on pages 7-9 of this document)

____ I understand that in fulfilling obligations to the public, as an educator I shall cooperate in the statewide student assessment system established under 4 AAC 06.710 - 4 AAC 06.790 by safeguarding and maintaining the confidentiality of test materials and information. 20 AAC 10.020 (c)(3)

____ As a teacher holding a certificate issued under 4 AAC 12, I understand that my actions may be subject to investigation and adjudication by the Professional Teaching Practices Commission if I violate any of the provisions detailed in regulation or testing procedures/manual published by test publisher. 4 AAC 06.765(f)(3) *(If you do not possess a teaching certificate, please write N/A)*

____ **District Test Coordinators:** I understand that I am obligated to immediately report any breach of test security to the department. 4 AAC 06.765(h)

____ **Lead, Associate, or Building Test Coordinators:** I understand that I am obligated to immediately report any breach of test security to the District Test Coordinator. 4 AAC 06.765(h)

Signature

Date

First and last name typed or printed clearly

District Test Coordinators and Lead Coordinators must submit this TSA to EED by the deadline of **October 1, 2016**; Associate or Building Test Coordinators submit TSA to the District Test Coordinator.

The following Superintendent signature is required for District Test Coordinators Only:

I acknowledge the aforementioned staff member is responsible for district level test administration which includes, but is not limited to, implementation and oversight of secure test materials processes, test administration, and training of all district testing personnel as defined by state regulation and test publishers. 4 AAC 06.761; 4 AAC 06.765.

Superintendent Signature

Date

4 AAC 06.761. Test administration

(a) Unless an assessment is specifically exempted, or the department has approved in writing a different process, a district shall administer the statewide assessments described in 4 AAC 06.710 in conformance with the requirements of this section.

(b) A district shall administer an assessment under this section only in a school test center designated by each district. Each school test center must be secure, free of disruptions, have an established seating arrangement, and be well lighted. Only designated district test coordinators, associate test coordinators, proctors, or test administrators may be in the test center rooms at the time of testing students.

(c) Each district shall designate an employee of the district as the district test coordinator. In districts with two or more school test centers, the district shall designate an on-site associate test coordinator for each center. The district test coordinator or associate test coordinator shall assign as many test administrators or proctors to each school test center as necessary to ensure adequate supervision or monitoring of students taking the assessment. Each test coordinator, associate test coordinator, proctor, and test administrator must execute an agreement, on a form provided by the department, affirming that the test procedures of the department and test publisher will be followed.

4 AAC 06.765. Test security; consequences of breach

(a) All test questions on norm-referenced tests, standards-based tests, and the high school graduation qualifying examinations, which are provided for in 4 AAC 06.700 - 4 AAC 06.790, the college and work preparedness assessment provided for in 4 AAC 06.717, and on the English language proficiency assessment provided for in 4 AAC 34.055, are confidential, and may be disclosed only as provided in this section.

(b) Test questions may be disclosed to

(1) a student on the date and at the time specified by the commissioner, to the extent necessary to administer the test;

(2) testing personnel in the course of fulfilling their duties;

(3) a department official as required for the performance of that official's duties; and

(4) a test administrator if necessary to fulfill duties under 4 AAC 06.775 regarding the delivery of accommodations.

(c) School and district personnel responsible for test administration shall

Test Administration & Security Regulations

- (1) inventory and track test materials from the time the materials arrive at the school or district office until the time the materials are returned to the test publisher;
 - (2) securely store tests before distribution to school test centers and after their return;
 - (3) control distribution of tests to and from school test centers;
 - (4) control the storage, distribution, administration, and collection of tests;
 - (5) ensure that no test or test question is copied in any manner, whether on paper or by electronic means.
- (d) School personnel at a school test center shall
- (1) code the tests according to test administration directions before testing;
 - (2) inventory and track test materials from the time the materials arrive at the school until the time the materials are returned to the district;
 - (3) securely store tests before and after each testing session;
 - (4) control distribution of tests within the school test center;
 - (5) ensure that no test or test question is copied, reproduced, or paraphrased in any manner by an examinee or anyone else;
 - (6) ensure that examinees use only those reference materials allowed by the test publisher's testing procedures;
 - (7) ensure that no individual receives a copy of the test, or learns of a specific test question or item, before the test date and time set by the commissioner, unless knowledge of the question or item is necessary for delivery of accommodations under 4 AAC [06.775](#);
 - (8) ensure that examinees do not exchange information during a test, except when the test procedure so specifies;
 - (9) ensure that an examinee's answer is not altered after testing is completed;
 - (10) ensure that no examinee is assisted in responding to or review of specific test questions or items before, during, or after a test session.
- (e) A teacher holding a certificate issued under 4 AAC [12](#) who breaches security as described in this section is subject to investigation and adjudication by the Professional Teaching Practices Commission.

Test Administration & Security Regulations

(f) School and district personnel responsible for test administration shall

(1) annually execute an agreement, on a form provided by the department, affirming that they will follow the test procedures required under this section;

(2) provide training in test procedures to all district staff involved in testing as directed by the department, and ensure that staff complete the training;

(3) ensure that all district staff involved in testing read and follow all testing procedures and manuals published by the test publisher, unless instructed otherwise by the department.

(g) All school and district personnel shall maintain the security and confidentiality of electronic test data files, individual student reports, and other testing reports designated as secure.

(h) District personnel in charge of testing shall immediately report any breach of test security to the department. If a student's IEP requires a modification that violates test security under this section, the modification will be provided only if it does not affect test security for any students other than the student who requires the modification. A modification that violates test security under this section results in an invalid assessment and the assessment will not be scored.